

2611

PTO/SB/21 (02-04) (AW 02/2004)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission 51

Application Number	09/883,533
Filing Date	June 18, 2001
First Named Inventor	Gopal K. Srivastava
Art Unit	2611
Examiner Name	Andrew I. Faile
Attorney Docket No.	MATP-604US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
 - ☐ Response to Missing Parts under
37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation,
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication
to Group
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
identify below): 1449, copies of
4 cited references and European
Search Report

Remarks:

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Kenneth N. Nigon	Registration No. (Attorney/Agent)	31,549
Signature			
Date	March 23, 2004		

CERTIFICATE OF TRANSMISSION / MAILING

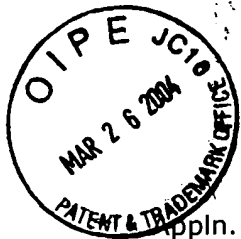
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Typed or printed name	Tonya M. Berger		
Signature		Date	March 23, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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MATP-604US



PATENT

#5
W. Lawren
4/1/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 09/883,533
Applicant: Gopal K. Srivastava
Filed: June 18, 2001
Title: CONTROL OF MULTIPLE AV-DEVICES BY A SINGLE MASTER CONTROLLER
USING INFRARED TRANSMITTED COMMANDS AND BUS TRANSMITTED
COMMANDS
TC/A.U.: 2611
Examiner: Andrew I. Faile
Docket No.: MATP-604US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

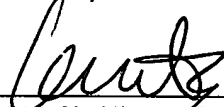
References JP 11 317756 A and DE 195 34 883 A are not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance

determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,


Kenneth N. Nigon, Reg. No. 31,549
Attorney(s) for Applicant(s)

KNN/tmb

Enclosures: PTO Form 1449
(4) References
Search Report
Transmittal Form

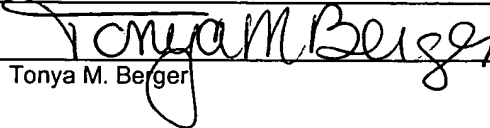
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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	09/883,533
Filing Date	June 18, 2001
First Named Inventor	Gopal K. Srivastava
Art Unit	2611
Examiner Name	Andrew I. Faile
Attorney Docket No.	MATP-604US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-6,097,441 A	08/01/2000	Allport	Col. 6, lines 40-45; Col. 14, line 25 - 30; Col. 15; Col. 11, lines 12 - 22; Col. 13, line 1; Col. 8, lines 43 - 45; Col. 9, lines 45 - 67; Col. 10
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		JP 11 317756 A	11/16/1999	Sony Corp.	Abstract	<input type="checkbox"/>
		DE 195 34 883 A	04/11/1996	Merz Werke GMBH & CO KG	Entire Document	<input type="checkbox"/>
		WO 00 70578 A	11/23/2000	Konin-Klijke Philips Electronics N.V.	Entire Document	<input type="checkbox"/>
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶Applicant is to place a check mark here if English language translation is attached.

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SHEET 2 of 2

Complete if Known

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Filing Date	June 18, 2001
First Named Inventor	Gopal K. Srivastava
Art Unit	2611
Examiner Name	Andrew I. Faile
Attorney Docket No.	MATP-604US

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		European Search Report, February 23, 2004	<input type="checkbox"/>
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